

Simplify the 401(k) Experience

We bring it all together.



Designed as best-in-class aggregation of services, our 401(k) includes:

Investment Selection and Monitoring

- Product-level oversight by Institutional investment consultant **Callan Associates**
- Structure allows for institutionally priced investment options
- Level pricing, regardless of the investments selected
- Broad representation of both index and active solutions across asset classes
- No proprietary asset management allowing for pure open architecture consideration of investment options

QDIA Flexibility

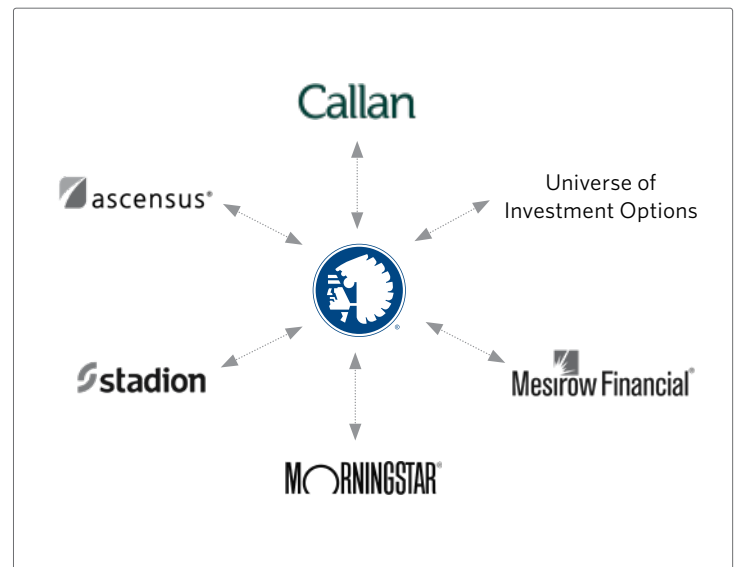
No proprietary asset management allows for consultative Qualified Default Investment Alternative (QDIA) selection process with options varying from three distinct types of target date funds and **Morningstar** or **Stadion** individually managed accounts.

Investment Fiduciary Services

3(21) or 3(38) fiduciary services provided by **Mesirow Financial**.

Administrative Fiduciary Services

ERISA 3(16) administrative co-fiduciary service provided by **Mutual of Omaha Retirement Services**.



Superior Customer Experience

- “Never a number; always a name” – personalized relationship management by **Mutual of Omaha Retirement Services**
- 98% client satisfaction rating*
- Recordkeeping expertise provided by **Ascensus**
- Employee engagement expertise through our proprietary Imagine Retirement RightSM program. These best-in-class services are integrated into our offering and delivered through Mutual Retirement Services



Why Mutual of Omaha

We're invested in your success. We're committed to giving you the products your customers want plus the tools, resources and support you need.

Sample Pricing

PLAN DEMOGRAPHICS			
Assets	\$1.5M	\$3.0M	\$10.0M
Annual Contributions	\$150K	\$350k	\$2.3M
Participants	40	85	250
Fiduciary Service Included	3(21)	3(21)	3(21)

FEES			
Investment Managers	0.10%	0.10%	0.10%
Mutual of Omaha Retirement Services	0.53%	0.43%	0.28%
Mesirow Financial for 3(21)	0.02%	0.02%	0.02%
Total Cost	0.65%	0.55%	0.40%

NOTE: Does not include plan advisor compensation

Here's how our 401(k) sales stack up...



of sales in the \$0-\$2M space



of sales in the \$2M-\$10M space



of sales in the >\$10M space

Questions?

Call for more information.

Mutual of Omaha Retirement Services
877-401-SALE (7253)
FAX 877-369-2338
salesdesk@mutualofomaha.com

MutualofOmaha.com

¹Total Cost - Asset based fees that represent the total expense assessed including the investment fee, and the ERISA fee credit. The investment fee and administrative charge assessed to a plan will reduce the investment return as set forth in the group annuity contract. Sample Pricing reflects Mesirow Financial's Basic List lineup. The Total Investment Fee is subject to change.

Note: The Pricing above reflects previously generated proposals and is a sample of what the pricing may look like. Each plan is priced individually and there is no guarantee that a plan with similar demographics will receive identical pricing.

*Mutual of Omaha commissioned Chatham Partners to conduct a client satisfaction survey to all group variable annuity contract customers of United of Omaha Life Insurance Company and Companion Life Insurance Company. The three month survey, concluded in June 2016, had an overall customer response rate of 28 percent. Survey results are limited to those expressing an opinion. Chatham Partners is a leading independent market research firm and is not affiliated with Mutual of Omaha or any of its affiliated entities.

Mutual of Omaha and its affiliates are not affiliated with Callan Associates, Mesirow Financial, Morningstar, Stadion or Ascensus.

Advisory services offered through Mesirow Financial Investment Management, Inc., an SEC registered investment advisor.

The Morningstar name and logo are registered marks of Morningstar, Inc. MorningstarSM Retirement ManagerSM is offered by and is the property of Morningstar Associates, LLC, a registered investment advisor and wholly owned subsidiary of Morningstar, Inc., and is intended for citizens or legal residents of the United States or its territories.

Mutual of Omaha Retirement Services is a marketing name for the Retirement Plans Division of Mutual of Omaha Insurance Company.

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